

UTILITY PATENT APPLICATION TRANSMITTAL

(CLAIMING BENEFIT TO PROVISIONAL APPLICATION)

(New Nonprovisional Application Under 37 CFR § 1.53(b))

Attorney Docket No.

15437-0583

00727 U.S. PTO
10/767118**TO THE COMMISSIONER FOR PATENTS:**

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, David S. Comay, et al., entitled MECHANISM FOR IMPLEMENTING A SPARSE FILE SYSTEM FOR AN OPERATING SYSTEM PARTITION, for a(n):

- (X) Original Patent Application that claims the benefit of prior U.S. Provisional Application 60/469,558, filed May 9, 2003.
(X) A statement claiming priority under 35 USC § 119(e) has been added to the specification.

() Continuing Application (prior application not abandoned):

- () Continuation () Divisional () Continuation-in-part (CIP)
of prior application No: _____ Filed on: _____
() A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

- (X) Specification 41 Total Pages; (X) Drawing(s) 5 Total Sheets; (X) Cover Sheet 1 Page
(X) Oath or Declaration: 5 Pages
(X) A Newly Executed Combined Declaration and Power of Attorney:
(X) Signed. () Unsigned. () Partially Signed.
() A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
() Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference in its entirety for all purposes.
() Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
() Power of Attorney. (X) Return Receipt Postcard.
() Associate Power of Attorney. (X) A Check in the amount of \$1,422.00 for the Filing Fee.
() Preliminary Amendment. () Information Disclosure Statement and Form PTO-1449.
(X) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
() A Duplicate Copy of this Form for Processing Fee Against Deposit Account.
() A Certified Copy of Priority Documents (if foreign priority is claimed).
() Applicant(s) is entitled to small entity status. See 37 CFR 1.27.
() Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
(X) Recordation of Assignment Cover Sheet and **executed** Assignment.
() Other: _____

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	54	34	\$18.00	\$ 612.00
Independent Claims	3	0	\$86.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$40.00
Basic Filing Fee				\$770.00
Total Filing Fee				\$ 1,422.00

Charge \$ _____ to Deposit Account 50-1302 pursuant to 37 CFR § 1.25. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: _____

Bobby K. Truong, Reg. No. 37,499

Date: January 28, 2004

Correspondence Address:

CUSTOMER CODE

29989

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

By: _____

Typed Name: Tirenca Say
Express Mail Label No.: EV323351326US
Date of Deposit: January 28, 2004

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FEE TRANSMITTAL for FY 2004		Complete if Known																																																																																																																																																																																		
<p><i>Patent fees are subject to annual revision, Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 AND 1.28</i></p>		Application Number	NYA																																																																																																																																																																																	
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METHOD OF PAYMENT (check one) 1. <input checked="" type="checkbox"/> Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed. Deposit Account Number: <u>50-1302</u> Deposit Account Name: <u>Hickman Palermo Truong & Becker, LLP</u> 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other 3. <input type="checkbox"/> Applicant(s) is entitled to small entity status. See 37 CFR 1.27.		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SUBMITTED BY Name (Print/Type) <u>Bobby K. Truong</u> Signature <u>[Signature]</u>		Registration No. (Attorney/Agent) <u>37,499</u> Telephone <u>(408) 414-1080</u> Date <u>January 28, 2004</u>																																																																																																																																																																																		

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

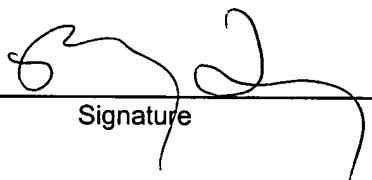
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
SUN030236NP

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor		David S. Comay, et al.
	Title	Mechanism for Implementing A Sparse File System for an Operating System Partition	
	Atty. Docket Number		15437-0583

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 28, 2004

Date


Signature

Bobby K. Truong (Reg. No. 37,499)

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450